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FINANCIAL SERVICES FIRMS MUST PRESERVE BILLIONS OF RECORDS, CONVERSATIONS & TRANSACTIONS

The amount of data regulated companies must collect is staggering—and growing. New products and services are generating more information even as new regulations increase the scope of the data management requirements. The most comprehensive response for CIOs, CTOs, Legal and Compliance professionals is Bloomberg Vault, an end-to-end, cloud-based secure hosted platform that consolidates your compliance, legal and data management processes into an integrated, real-time system.

BLOOMBERG VAULT'S COMPLETE SOLUTION >>>>>>

ACCESS THE POWER OF TRUST, INNOVATION AND PARTNERSHIP

Bloomberg Vault is backed by the reliability and scalability of the world's largest private network. It is built on our deep domain expertise in electronic messaging, which encompasses more than 220 million daily messages and 65 billion archived messages. The system is designed around disaster recovery, high availability and robust security to exceed the expectations of our most demanding clients.

Random Sampling/ Ad Hoc Review

+

SAVE TIME AND MONEY WHILE LOWERING YOUR REGULATORY RISK

End-to-End Information Governance

DATA SOURCES

Bloomberg MSG/IB

Email

IM

SMS/P2P

Social Media
Custom Sources

COMPLIANCE POLICIES

Regulatory Compliance

- Insider trading
- · Fair & balanced

Information Boundaries

- Ethical wall
- Restricted list

Over 40 PrePackaged Policies

- Intelligent policies
- Low false-positives

REAL-TIME

REVIEW

Real-time Review Queue

- Intuitive interface
- Streamlined review process

Reviewer Hierarchy

- Flexible group configurations
- Role-based permissions

Reports & Audit Trail

Daily digest report

Warn

Custom reporting

EDISCOVERY ARCHIVE

eDiscovery Search

- Instant retrieval (PST)
- Powerful culling tools

Retention Management

- Flexible retention
- Apply legal holds

Reports & Audit Trail

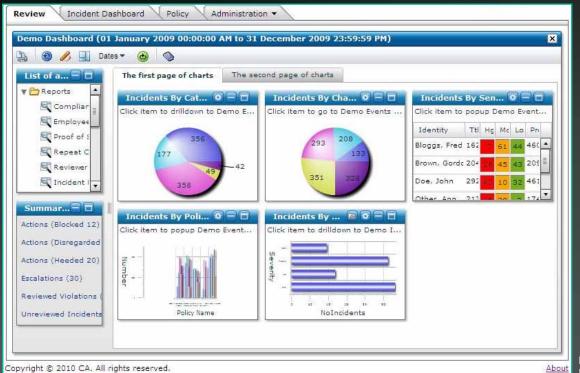
- Chain of custody
- Search/export audit

STAY A STEP AHEAD OF YOUR CHALLENGES

Bloomberg has made delivering uninterrupted uptime and ironclad data security one of our core competencies. Multi-factor biometric security ensures the integrity and confidentiality of your information. Data is archived with multiple layers of redundancy in a highly secure environment with multiple data centers running hot-hot for guaranteed disaster recovery. Ultimately, it means your firm gets a complete and reliable solution that meets stringent legal and regulatory requirements.

POWERFUL ANALYTICS MAKE CLOUD-BASED INFORMATION AN ASSET

Bloomberg Vault can help CTOs and CIOS drive cost savings by streamlining processes and improving risk management and compliance. Your firm benefits by moving its compliance, eDiscovery, and long-term archiving to Bloomberg Vault's unified, scalable and high-service cloud-based environment. Bloomberg's powerful analytics allow your firm to gain insight into your consolidated archive through powerful visualizations and dashboards that offer the ability to correlate many data sources in a single view and proactively detect patterns.



Bloomberg Vault Powerful Analytics

BLOOMBERG VAULT 02 // 03

WHY BLOOMBERG VAULT NOW /////

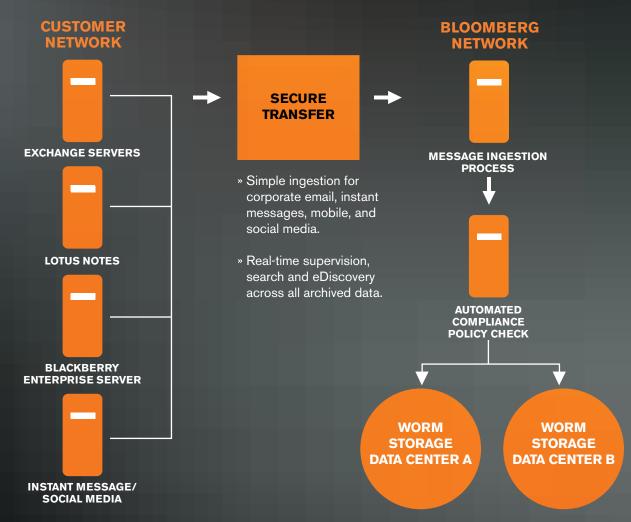
The financial services industry is dealing with a fluid and evolving legal and regulatory landscape. Huge data volumes make compliance and eDiscovery responses complex, while consequences for noncompliance can be substantial.

Our scalable system gives your firm a unified archiving solution in the cloud for all corporate e-mail, instant messages, mobile, social media and Bloomberg messages—all on a single platform. This simplifies

compliance review workflows and speeds your eDiscovery processes. In addition, Bloomberg Vault is compliant with SEC and FINRA guidelines, allowing Bloomberg to issue attestation letters to the regulators on your behalf. Together, that allows you to:

- » Reduce operational overhead and capital costs
- » Access powerful search and analytics
- » Streamline legal and regulatory investigations

CORPORATE DATA INGESTION



THE STRONG CASE FOR COMPLIANCE IN THE CLOUD>>>

CUSTOMERS ACROSS THE FINANCIAL SERVICES INDUSTRY ARE ADOPTING BLOOMBERG VAULT

Bloomberg Vault helps solve your business-critical information management needs in a way that helps the bottom line. Bloomberg Vault lets you reduce labor costs, storage and hardware spend, data center costs as well as software licensing costs.

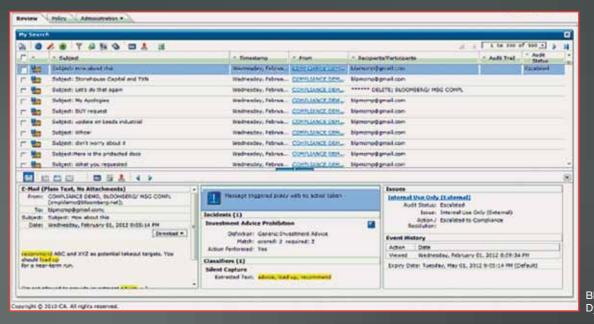
We know that real-time access and analytics on your information are just as important as archiving it securely. So we've added powerful search and analytics tools that get you the data you're looking for—in real time.

Simple administration and user-management tools help you quickly adopt Bloomberg Vault; global customer support is available every day of the year, around the clock with experts in every region. Transparent and cost-effective pricing helps make the economic benefits to your company exceptionally clear.

WIDE-RANGING OFFERINGS

Bloomberg Vault brings extensive solutions to lower your regulatory risk and reduce your compliance costs. We deliver your consolidated enterprise archive on write-once-read-many (WORM) storage with geographically dispersed data centers running hot-hot, instant search and flexible retention management with legal hold capabilities implemented at the firm, group or individual-user level.

And, all access is authenticated with the B-Unit, an industry-first three-factor biometric authentication tool for more secure access than anyone else in the industry. So, you'll know with certainty exactly who has been interacting with archived information.



Bloomberg Vault

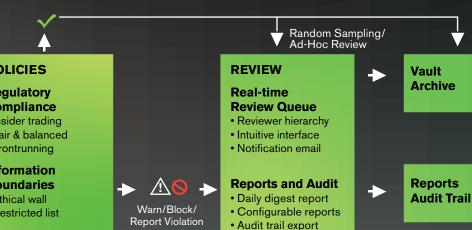
Dynamic Platform

END-TO-END INFORMATION GOVERNANCE >>>>>>>>>

Bloomberg Vault Compliance

DATA SOURCES POLICIES Bloomberg MSG/IB Regulatory **Email** Compliance Insider trading IM · Fair & balanced Frontrunning SMS/P2P Information **Social Media Boundaries** Custom/Reuters Ethical wall Restricted list **Over 40 Policies**

Out-of-the-box
• Used by 1500+ firms
• Low false-positives
• Random sampling



ROBUST AND CONSOLIDATED SOLUTION ////////

To support all your content sources, CIOs and CTOs require global solutions that support all regulated information. Bloomberg Vault meets this requirement by supporting all enterprise messaging, including Microsoft® Exchange, IBM® Lotus Notes®, instant messaging, Bloomberg messaging, mobile/SMS messaging, social media communications and other proprietary financial services messaging systems.

Your end users can access powerful full-text search functionality to support eDiscovery workflows, including responsive filter and retrieval tools, through an intuitive interface. Easily review and quickly download messages from all data sources in multiple export formats, including Microsoft PST, Lotus Notes NSF, XML and text, to streamline your investigations.

Bloomberg Vault's integrated real-time policy management console comes with more than 40 preconfigured and customizable rules that allow Compliance users to monitor, review, sample and report on potential violations across all communications types. Dashboards and reporting capabilities offer your managers insight and transparency into your compliance processes.

KEEPING ON TOP OF THOUGHT LEADERSHIP

Bloomberg Vault includes compliance newsletters, regulatory insight, and alerts from our award-winning news organization, Bloomberg Law (BLAW) and The Bureau of National Affairs (Bloomberg BNA). Clients can also subscribe to our cost-free, proprietary newsletters on Risk, Compliance and eDiscovery.

CIOs and CTOs appreciate Bloomberg Vault's smooth integration with existing infrastructure and powerful economic benefits. Legal, risk and compliance professionals rely on the secure platform to consolidate compliance & eDiscovery processes, search and retention management into a single system.

WORLD-CLASS INFRASTRUCTURE AND SUPPORT >>>>

JOIN A PARTNERSHIP BACKED BY OUR UNMATCHED GLOBAL SERVICE AND SUPPORT

Bloomberg Vault is a consolidated system that supports all or our users globally, with support in offices in every region. Our integrated solution provides a single point of access for your legal and compliance staff to streamline compliance and investigative workflows, and our experienced staff will have your firm up and running quickly. Customer Support is available 24/7.



Real Service from Real People When You Need It.

Our 24/7 industry-leading customer service teams in 160 countries around the world will help you fully leverage the analytics and data on the Bloomberg Professional service.

ABOUT BLOOMBERG Bloomberg, the global business and financial information and news leader, gives influential decision makers a critical edge by connecting them to a dynamic network of information, people and ideas. The company's strength—delivering data, news and analytics through innovative technology, quickly and accurately—is at the core of the Bloomberg Professional service, which provides real-time financial information to more than 300,000 subscribers globally. Bloomberg's enterprise solutions build on the company's core strength, leveraging technology to allow customers to access, integrate, distribute and manage data and information across organizations more efficiently and effectively. Through Bloomberg Vault, Bloomberg Law, Bloomberg Government and Bloomberg New Energy Finance, the company provides data, news and analytics to decision makers in industries beyond finance. And Bloomberg News, delivered through television, radio, mobile, the Internet and two magazines, Bloomberg Businessweek and Bloomberg Markets cover the world with more than 2,300 news and multimedia professionals at 146 bureaus in 72 countries. Headquartered in New York, Bloomberg employs more than 13,000 people in 166 locations around the world.

Explore how Bloomberg Vault can play a crucial role in meeting your compliance, email archiving and eDiscovery needs. To learn more about what Bloomberg Vault can do for your company, email us at vaultsales@bloomberg.net or call us at +1 212 617 6580

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